

# The Local Government Ombudsman's Annual Letter South Bucks District Council for the year ended 31 March 2007

The Local Government Ombudsman (LGO) investigates complaints by members of the public who consider that they have been caused injustice through administrative fault by local authorities and certain other bodies. The LGO also uses the findings from investigation work to help authorities provide better public services through initiatives such as special reports, training and annual letters.

### Annual Letter 2006/07 - Introduction

The aim of the annual letter is to provide a summary of information on the complaints about your authority that we have received and try to draw any lessons learned about the authority's performance and complaint-handling arrangements. These might then be fed back into service improvement.

I hope that the letter will be a useful addition to other information your authority holds on how people experience or perceive your services.

There are two attachments which form an integral part of this letter: statistical data covering a three year period and a note to help the interpretation of the statistics.

### Complaints received

### Volume

My office received only five complaints against your authority this year, half as many as the previous year. This is a significant achievement for your authority. Four of these complaints were about planning or building control.

### **Decisions on complaints**

### Reports and local settlements

We use the term 'local settlement' to describe the outcome of a complaint where, during the course of our investigation, the Council takes, or agrees to take, some action which we consider is a satisfactory response to the complaint and the investigation does not need to be completed. These form a significant proportion of the complaints we determine. When we complete an investigation we must issue a report. I issued no reports and there were no settlements.

### Other findings

I made decisions on six complaints during the year. One complaint was referred back to your office as premature as your Council did not have an opportunity to deal with it. As with the previous two years I found no fault in any of the complaints I considered. This compares with a national average whereby I find fault in 27.7% of the complaints made to me which are neither premature nor outside jurisdiction.

All the planning complaints involved dissatisfaction with decisions to grant planning permission. As you know as long as there has been no fault in the way the decision was reached I have no power to question its merits.

### Your Council's complaints procedure and handling of complaints

The Council gives a clear commitment to dealing with comments and complaints from members of the public on its website. I can only conclude from the small number of complaints which have been made to my office in recent years, as well as the fact that I have found no evidence of fault in the complaints I have considered, that the Council's own complaints procedure is working effectively.

### Training in complaint handling

As part of our role to provide advice in good administrative practice, we offer training courses for all levels of local authority staff in complaints handling and investigation. The feedback from courses that have been delivered over the past two and a half years is very positive.

The range of courses is expanding in response to demand and in addition to the generic Good Complaint Handing (identifying and processing complaints) and Effective Complaint Handling (investigation and resolution) we can run open courses for groups of staff from smaller authorities and also customise courses to meet your Council's specific requirements.

All courses are presented by an experienced investigator so participants benefit from their knowledge and expertise of complaint handling.

I have enclosed some information on the full range of courses available together with contact details for enquiries and any further bookings.

### Liaison with the Local Government Ombudsman

My office only made formal enquiries on one complaint and the response was received within 20 days, well within our target of 28 days. The Council's response was exceptionally detailed and included supporting documents which enabled my investigator to arrive at a provisional view on the complaint without the need to request any additional information, inspect files, or interview officers. I am grateful to the Council for its diligence in producing such a detailed response.

### LGO developments

I thought it would be helpful to update you on a project we are implementing to improve the first contact that people have with us as part of our customer focus initiative. We are developing a new Access and Advice Service that will provide a gateway to our services for all complainants and enquirers. It will be mainly telephone-based but will also deal with email, text and letter correspondence. As the project progresses we will keep you informed about developments and expected timescales.

Changes brought about by the Local Government Bill are also expected to impact on the way that we work and again we will keep you informed as relevant.

We have just issued a special report that draws on our experience of dealing with complaints about planning applications for phone masts considered under the prior approval system, which can be highly controversial. We recommend simple measures that councils can adopt to minimise the problems that can occur.

A further special report will be published in July focusing on the difficulties that can be encountered when complaints are received by local authorities about services delivered through a partnership. Local partnerships and citizen redress sets out our advice and guidance on how these problems can be overcome by adopting good governance arrangements that include an effective complaints protocol.

## **Conclusions and general observations**

I welcome this opportunity to give you my reflections about the complaints my office has dealt with over the past year. I hope that you find the information and assessment provided useful when seeking improvements to your Council's services.

Tony Redmond Local Government Ombudsman Millbank Tower Millbank London SW1P 4QP

June 2007

Enc: Statistical data

Note on interpretation of statistics

Leaflet on training courses (with posted copy only)

Complaints received by subject area	Benefits	Other	Planning & building control	Total	
01/04/2006 - 31/03/2007	1	0	4	5	
2005 / 2006	0	1	9	10	
2004 / 2005	0	0	9	9	

Note: these figures will include complaints that were made prematurely to the Ombudsman and which we referred back to the authority for consideration.

I	Decisions	MI reps	LS	M reps	NM reps	No mal	Omb disc	Outside iurisdiction	Premature complaints	Total excl premature	Total	
	01/04/2006 - 31/03/2007	0	0	0	0	5	0	0	1	5	6	
	2005 / 2006	0	0	0	0	3	1	0	5	4	9	
	2004 / 2005	0	0	0	0	3	2	1	2	6	8	

See attached notes for an explanation of the headings in this table.

	FIRST ENQUIRIES				
Response times	No. of First Enquiries	Avg no. of days to respond			
01/04/2006 - 31/03/2007	1	20.0			
2005 / 2006	3	26.7			
2004 / 2005	1	32.0			

# Average local authority response times 01/04/2006 to 31/03/2007

Types of authority	<= 28 days	29 - 35 days	> = 36 days
	%	%	%
District Councils	48.9	23.4	27.7
Unitary Authorities	30.4	37.0	32.6
Metropolitan Authorities	38.9	41.7	19.4
County Councils	47.1	32.3	20.6
London Boroughs	39.4	33.3	27.3
National Park Authorities	66.7	33.3	0.0

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